Upper and lower solutions method for Caputo–Hadamard fractional differential inclusions

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ABSTRACT. In this paper, we use some background concerning multivalued functions and set-valued analysis, the fixed point theorem of Bohnenblust–Karlin and the method of upper and lower solutions to investigate the existence of solutions for a class of boundary value problem of functional differential inclusions involving the Caputo–Hadamard fractional derivative.

1. INTRODUCTION

There are numerous applications of fractional calculus and fractional differential equations in various fields of science and engineering; see [4, 7, 8, 17, 20, 23, 24, 25]. Many researchers studied different classes of differential equations involving the Riemann-Liouville, Caputo and Hadamard derivatives; see [3, 6, 10, 11, 12, 13, 21].

In [1, 2, 5, 9, 15, 22], the authors use the method of upper and lower solutions to study the existence of solutions for ordinary and fractional differential equations and inclusions. In this paper we give some existence results for the following Caputo–Hadamard fractional differential inclusion,

(1)
$${}^{Hc}D^{r}y(t) \in F(t, y(t)); \text{ for a.e. } t \in J = [1, T],$$

with the boundary condition

(2)
$$L(y(1), y(T)) = 0,$$

where T > 1, ${}^{Hc}D^r$ is the Caputo-Hadamard fractional derivative of order $0 < r \leq 1$, $F : J \times \mathbb{R} \to \mathcal{P}(\mathbb{R})$ is a multivalued map, $\mathcal{P}(\mathbb{R})$ is the family of all nonempty subsets of \mathbb{R} , and $L : \mathbb{R}^2 \to \mathbb{R}$ is a given continuous function.

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This paper initiates the application the method of upper and lower solutions for fractional differential inclusions involving the Caputo–Hadamard fractional derivative.

2. Preliminaries

Let $(C(J,\mathbb{R}), \|\cdot\|_{\infty})$ be the Banach space of continuous functions y from J to \mathbb{R} with the usual uniform norm

$$\|y\|_{\infty} = \sup_{t \in J} |y(t)|.$$

By $L^1(J, \mathbb{R})$ we denote the Banach space of all Lebesgue integrable functions $y: J \to \mathbb{R}$ with the norm

$$\|y\|_{L^1} = \int_1^T |y(t)| dt.$$

Denote by $AC(J, \mathbb{R})$ the space of absolutely continuous functions from J into \mathbb{R} .

For a given Banach space $(X, \|\cdot\|)$, we define the following subsets of $\mathcal{P}(X)$:

$$P_{cl}(X) = \{Y \in \mathcal{P}(X) : Y \text{ is closed}\},\$$

$$P_b(X) = \{Y \in \mathcal{P}(X) : Y \text{ is bounded}\},\$$

$$P_{cp}(X) = \{Y \in \mathcal{P}(X) : Y \text{ is compact}\},\$$

$$P_{cv}(X) = \{Y \in \mathcal{P}(X) : Y \text{ is convex}\},\$$

$$P_{cp,cv}(X) = P_{cp}(X) \cap P_{cv}(X).$$

Definition 2.1. A multivalued map $G : X \to \mathcal{P}(X)$ is said to be convex (closed) valued if G(x) is convex (closed) for all $x \in X$. A multivalued map G is bounded on bounded sets if $G(B) = \bigcup_{x \in B} G(x)$ is bounded in X for all $B \in P_b(X)$ (i.e. $\sup_{x \in B} \{\sup\{|y| : y \in G(x)\}\}$ exists).

Definition 2.2. A multivalued map $G: X \to \mathcal{P}(X)$ is called upper semicontinuous (u.s.c.) on X if for each $x_0 \in X$, the set $G(x_0)$ is a nonempty closed subset of X, and for each open set N of X containing $G(x_0)$, there exists an open neighborhood N_0 of x_0 such that $G(N_0) \subset N$. G is said to be completely continuous if G(B) is relatively compact for every $B \in P_b(X)$.

Definition 2.3. Let $G: X \to \mathcal{P}(X)$ be completely continuous with nonempty compact values. Then G is u.s.c. if and only if G has a closed graph (i.e. $x_n \to x_*, y_n \to y_*, y_n \in G(x_n)$ imply $y_* \in G(x_*)$). G has a fixed point if there is $x \in X$ such that $x \in G(x)$.

We denote by FixG the fixed point set of the multivalued operator G.

Definition 2.4. A multivalued map $G : J \to P_{cl}(\mathbb{R})$ is said to be measurable if for every $y \in \mathbb{R}$, the function:

$$t \to d(y, G(t)) = \inf\{|y - z| : z \in G(t)\}$$

is measurable.

Lemma 2.1. [18] Let G be a completely continuous multivalued map with nonempty compact values, then G is u.s.c. if and only if G has a closed graph.

Definition 2.5. A multivalued map $F : J \times \mathbb{R} \to \mathcal{P}(\mathbb{R})$ is said to be Carathéodory if:

- (1) $t \to F(t, u)$ is measurable for each $u \in \mathbb{R}$;
- (2) $u \to F(t, u)$ is upper semicontinuous for almost all $t \in J$.

F is said to be L^1 -Carathéodory if (1), (2) and the following condition holds:

(3) For each q > 0, there exists $\varphi_q \in L^1(J, \mathbb{R}^+)$ such that

$$||F(t,u)||_{\mathcal{P}} = \sup\{|v| : v \in F(t,u)\} \le \varphi_q \text{ for all } |u| \le q \text{ and for a.e. } t \in J.$$

For each $y \in C(J, \mathbb{R})$, define the set of selections of F by

$$S_{F \circ y} = \{ v \in L^1([1,T], \mathbb{R}) : v(t) \in F(t, y(t)) \text{ a.e. } t \in [1,T] \}.$$

Let (X, d) be a metric space induced from the normed space $(X, |\cdot|)$. the function $H_d : \mathcal{P}(X) \times \mathcal{P}(X) \to \mathbb{R}_+ \cup \{\infty\}$ given by:

$$H_d(A,B) = \max\{\sup_{a \in A} d(a,B), \sup_{b \in B} d(A,b)\}$$

is known as the Hausdorff–Pompeiu metric. For more details on multivalued maps see the books of Hu and Papageorgiou [18].

In the sequel, we need the following fixed point theorem:

Theorem 2.1. (Bohnenblust-Karlin)[16] Let X be a Banach space and $K \in \mathcal{P}_{cl,cv}(X)$, and suppose that the operator $G : K \to \mathcal{P}_{cl,cv}(K)$ is upper semicontinuous and the set G(K) is relatively compact in X. Then G has a fixed point in K.

Let us recall some definitions and properties of Hadamard fractional integration and differentiation. Let $\delta = t \frac{d}{dt}$, and set

$$AC^n_{\delta}(J,\mathbb{R}) = \{y: J \to \mathbb{R} : \delta^{n-1}y(t) \in AC(J,\mathbb{R})\}.$$

Definition 2.6. [20] The Hadamard fractional integral of order r > 0 for a function $h \in L^1([1, +\infty), \mathbb{R})$ is defined as

$${}^{H}I_{1}^{r}h(t) = \frac{1}{\Gamma(r)} \int_{1}^{t} \left(\log\frac{t}{s}\right)^{r-1} \frac{h(s)}{s} ds,$$

provided the integral exists.

Example 2.1. Let q > 0. Then

$${}^{H}I_{1}^{q}\ln t = rac{1}{\Gamma(2+q)}(\ln t)^{1+q}; \ for \ a.e. \ t \in [1,+\infty).$$

Definition 2.7. [20] The Hadamard fractional derivative of order r > 0 applied to the function $h \in AC^{n}_{\delta}([1, +\infty), \mathbb{R})$ is defined as

$$({}^{H}D_{1}^{q}h)(t) = \delta^{n}({}^{H}I_{1}^{n-r}h)(t),$$

where n - 1 < r < n, n = [r] + 1, and [r] is the integer part of r.

Definition 2.8. [19] For a given function $h \in AC^n_{\delta}([a, b], \mathbb{R})$, such that 0 < a < b, the Caputo-Hadamard fractional derivative of order r > 0 is defined as follows:

$${}^{Hc}D^{r}y(t) = {}^{H}D_{1}^{r}\left[y(s) - \sum_{k=0}^{n-1}\frac{\delta^{k}y(a)}{k!}\left(\log\frac{s}{a}\right)^{k}\right](t),$$

where $Re(\alpha) \ge 0$ and $n = [Re(\alpha)] + 1$.

Lemma 2.2. [19] Let $y \in AC^n_{\delta}([a,b],\mathbb{R})$ or $C^n_{\delta}([a,b],\mathbb{R})$ and $\alpha \in \mathbb{C}$. Then

$${}^{H}I_{1}^{r}({}^{Hc}D^{r}y)(t) = y(t) - \sum_{k=0}^{n-1} \frac{\delta^{k}y(a)}{k!} \left(\log\frac{t}{a}\right)^{k}$$

3. Main Results

Definition 3.1. A function $y \in AC(J, \mathbb{R})$ is said to be a solution of (1) - (2) if there exists a function $v \in S_{Fou}$ such that ${}^{Hc}D^{r}y(t) = v(t)$ a.e. J and the boundary condition L(y(1), y(T)) = 0 is satisfied.

Definition 3.2. Afunction $w \in AC(J, \mathbb{R})$ is said to be an upper solution of (1) - (2) if $L(w(1), w(T)) \ge 0$, and there exists a function $v_1 \in S_{F \circ w}$ such that ${}^{Hc}D^rw(t) \ge v_1(t)$ a.e. J.

Similarly, A function $u \in AC(J, \mathbb{R})$ is said to be a lower solution of (1) - (2) if $L(u(1), u(T)) \leq 0$, and there exists a function $v_2 \in S_{F \circ u}$ such that ${}^{Hc}D^ru(t) \leq v_2(t)$ a.e. J.

Theorem 3.1. Assume the following hypotheses hold:

- (H1) $F: J \times \mathbb{R} \to \mathcal{P}_{cp,c}(\mathbb{R})$ is Carathéodory,
- (H2) There exist $u, w \in C(J, \mathbb{R})$, lower and upper solutions, respectively, for problem (1) - (2) such that $u \leq w$,
- (H3) The function $L(\cdot, \cdot)$ is continuous on $[u(1), w(1)] \times [u(T), w(T)]$, and nonincreasing with respect to both of its arguments,
- (H4) There exists $l \in L^1(J, \mathbb{R}^+)$ such that

$$H_d(F(t,y),F(t,\bar{y})) \le l(t)|y-\bar{y}|; \text{ for every } y,\bar{y} \in \mathbb{R},$$

and

$$d(0, F(t, 0)) \le l(t); a.e. t \in J.$$

Then the problem (1) - (2) has at least one solution y defined on J such that

$$u \le y \le w.$$

Proof. Consider the following modified problem

(3)
$${}^{Hc}D^ry(t) \in F(t,\tau(y(t))); \text{ for a.e. } t \in J,$$

(4)
$$y(1) = \tau(y(1)) - L(\overline{y}(1), \overline{y}(T)),$$

where

$$\tau(y(t)) = \max\{u(t), \min\{y(t), w(t)\}\},\$$

and

$$\overline{y}(t) = \tau(y(t)).$$

A solution to (3) - (4) is a fixed point of the operator $N : C(J, \mathbb{R}) \to \mathcal{P}(C(J, \mathbb{R}))$ defined by

$$N(y) = \left\{ h \in C(J, \mathbb{R}) : h(t) = y(1) + \frac{1}{\Gamma(\alpha)} \int_1^t \left(\log \frac{t}{s} \right)^{r-1} \nu(s) \frac{ds}{s} \right\},$$

where

$$\nu \in \{ v \in \widetilde{S}^{1}_{F \circ \tau(y)} : v(t) \ge v_{1}(t) \text{ on } A_{1} \text{ and } v(t) \le v_{2}(t) \text{ on } A_{2} \},\$$

$$S^{1}_{F \circ \tau(y)} = \{ v \in L^{1}(J, \mathbb{R}) : v(t) \in F(t, (\tau y)(t)), a.e. \ t \in J \},\$$

$$A_{1} = \{ t \in J : y(t) < u(t) \le w(t) \}, \ A_{2} = \{ t \in J : u(t) \le w(t) < y(t) \}.$$

Remark 3.1. (1) For each $y \in C(J, \mathbb{R})$, the set $\widetilde{S}^1_{F \circ \tau(y)}$ is nonempty. In fact, (H1) implies that there exists $v_3 \in S^1_{F \circ \tau(y)}$, so we set

 $v = v_1 \chi_{A_1} + v_2 \chi_{A_2} + v_3 \chi_{A_3},$

where

$$A_3 = \{ t \in J : u(t) \le y(t) \le w(t) \}.$$

Then by decomposability, $v \in \widetilde{S}^1_{F \circ \tau(y)}$.

(2) By the definition of τ it is clear that $F(\cdot, \tau y(\cdot))$ is an L^1 - Carathéodory multi-valued map with compact convex values and there exists $\phi_1 \in L^1(J, \mathbb{R}^+)$ such that

 $||F(t, \tau y(t))||_{\mathcal{P}} \le \phi_1(t)$ for each $y \in \mathbb{R}$.

(3) Since $\tau(y(t)) = u(t)$ for $t \in A_1$, and $\tau(y(t)) = w(t)$ for $t \in A_2$, then from (H3), the equation (4) implies that

$$|y(1)| \le |u(1)| + |L(u(1), u(T)| \le |u(1)| + |L(y(1), y(T))| = |u(1)| \text{ on } A_1,$$

and

$$y(1) = w(1) - L(w(1), w(T) \le w(1) - L(y(1), y(T))) = w(1) \text{ on } A_2.$$

These show that,

$$|y(1)| \le \min\{|u(1)|, |w(1)|\}.$$

Let

$$R := \min\{|u(1)|, |w(1)|\} + \frac{\|\phi_1\|_{L_1}}{\Gamma(r+1)} (\log T)^r,$$

and consider the closed and convex subset of $C(J, \mathbb{R})$,

$$B = \{ y \in C(J, \mathbb{R}) : \|y\|_{\infty} \le R \}.$$

We shall show that the operator $N: B \to \mathcal{P}_{cl,cv}(B)$ satisfies all assumptions of Theorem 2.1. The proof will be given in six steps.

Step 1: N(y) is convex for each $y \in B$. Let h_1 , h_2 belong to N(y), then there exist $\nu_1, \nu_2 \in \widetilde{S}^1_{F \circ \tau(y)}$ such that for each $t \in J$, we have, for i = 1, 2,

$$h_i(t) = y(1) + \frac{1}{\Gamma(r)} \int_1^t \left(\log \frac{t}{s}\right)^{r-1} \nu_i(s) \frac{ds}{s}.$$

Let $0 \le d \le 1$. Then, for each $t \in J$, we have

$$(dh_1 + (1-d)h_2)(t) = y(1) + \frac{1}{\Gamma(r)} \int_1^t \left(\log\frac{t}{s}\right)^{r-1} [d\nu_1(s) + (1-d)\nu_2(s)] \frac{ds}{s}.$$

Since $S_{F \circ \tau(y)}$ is convex (because F has convex values), we have

$$dh_1 + (1-d)h_2 \in N(y).$$

Step 2: N maps bounded sets into bounded sets in B. For each $h \in N(y)$, there exists $\nu \in \widetilde{S}^1_{F \circ \tau(y)}$ such that

$$h(t) = y(1) + \frac{1}{\Gamma(r)} \int_1^t \left(\log\frac{t}{s}\right)^{r-1} \nu(s) \frac{ds}{s}$$

From (H1)-(H3), for each $t \in J$, we have

$$\begin{aligned} |h(t)| &\leq |y(1)| + \left| \frac{1}{\Gamma(r)} \int_{1}^{T} \left(\log \frac{T}{s} \right)^{r-1} \frac{\nu(s)}{s} ds \right| \\ &\leq \min\{|u(1)|, |w(1)|\} + \frac{1}{\Gamma(r)} \int_{1}^{T} \left(\log \frac{T}{s} \right)^{r-1} \frac{|\nu(s)|}{s} ds \\ &\leq \min\{|u(1)|, |w(1)|\} + \frac{\|\phi_1\|_{L_1}}{\Gamma(r+1)} (\log T)^r. \end{aligned}$$

Thus

$$\|h\|_{\infty} \le R.$$

Step 3: N maps bounded sets into equicontinuous sets of B. Let $t_1, t_2 \in J$, $t_1 < t_2$, Let $y \in B$ and $h \in N(y)$. Then

$$\begin{aligned} |h(t_2) - h(t_1)| &= \left| \frac{1}{\Gamma(r)} \int_1^{t_1} \left[\left(\log \frac{t_2}{s} \right)^{r-1} - \left(\log \frac{t_1}{s} \right)^{r-1} \right] \nu(s) \frac{ds}{s} \\ &+ \frac{1}{\Gamma(r)} \int_{t_1}^{t_2} \left(\log \frac{t_2}{s} \right)^{r-1} \nu(s) \frac{ds}{s} \\ &\leq \frac{\|\phi_1\|_{L_1}}{\Gamma(r)} \int_1^{t_1} \left[\left(\log \frac{t_2}{s} \right)^{r-1} - \left(\log \frac{t_2}{s} \right)^{r-1} \right] \frac{ds}{s} \\ &+ \frac{\|\phi_1\|_{L_1}}{\Gamma(r)} \int_{t_1}^{t_2} \left(\log \frac{t_2}{s} \right)^{r-1} \frac{ds}{s} \\ &\to 0 \text{ as } t_1 \to t_2. \end{aligned}$$

As a consequence of the three above steps, we can conclude from the Arzelá-Ascoli theorem that $N: C(J, \mathbb{R}) \to \mathcal{P}(C(J, \mathbb{R}))$ is completely continuous.

Step 4: N has a closed graph.

Let $y_n \to y_*$, $h_n \in N(y_n)$ and $h_n \to h_*$. We need to show that $h_* \in N(y_*)$. $h_n \in N(y_n)$ means that there exists $\nu_n \in \widetilde{S}^1_{F \circ \tau(y)}$ such that, for each $t \in J$,

$$h_n(t) = y(1) + \frac{1}{\Gamma(r)} \int_1^t \left(\log\frac{t}{s}\right)^{r-1} \nu_n(s) \frac{ds}{s}.$$

We must show that there exists $\nu_* \in \widetilde{S}^1_{F \circ \tau(y_*)}$ such that, for each $t \in J$,

$$h_*(t) = y(1) + \frac{1}{\Gamma(r)} \int_1^t \left(\log \frac{t}{s}\right)^{r-1} \nu_*(s) \frac{ds}{s}.$$

Since $F(t, \cdot)$ is upper semi-continuous, then for every $\epsilon > 0$, there exists a natural number $n_0(\epsilon)$ such that, for every $n \ge n_0$, we have

$$\nu_n(t) \in F(t, \tau y_n(t)) \subset F(t, y_*(t)) + \epsilon B(0, 1) \quad \text{a.e. } t \in J.$$

Since $F(\cdot, \cdot)$ has compact values, then there exists a subsequence $\nu_{n_m}(\cdot)$ such that

$$\nu_{n_m}(\cdot) \to \nu_*(\cdot) \text{ as } m \to \infty,$$

and

$$\nu_*(t) \in F(t, \tau y_*(t))$$
 a.e. $t \in J$.

For every $w \in F(t, \tau y_*(t))$, we have

$$|\nu_{n_m}(t) - \nu_*(t)| \le |\nu_{n_m}(t) - w| + |w - \nu_*(t)|.$$

Then

$$|\nu_{n_m}(t) - \nu_*(t)| \le d(\nu_{n_m}(t), F(t, \tau y_*(t))).$$

We obtain an analogous relation by interchanging the roles of v_{n_m} and v_* , and it follows that

$$|\nu_{n_m}(t) - \nu_*(t)| \le H_d(F(t, \tau y_n(t)), F(t, \tau y_*(t))) \le l(t) ||y_n - y_*||_{\infty}.$$

Then

$$\begin{aligned} |h_{n_m}(t) - h_*(t)| &\leq \frac{1}{\Gamma(r)} \int_1^t \left(\log \frac{t}{s} \right)^{r-1} |\nu_{n_m}(s) - \nu_*(s)| \frac{ds}{s} \\ &\leq \frac{1}{\Gamma(r+1)} \left(\log T \right)^r \int_1^T l(s) ds ||y_{n_m} - y_*||_{\infty} \end{aligned}$$

Thus

$$\|h_{n_m} - h_*\|_{\infty} \le \frac{1}{\Gamma(r+1)} \left(\log T\right)^r \int_1^T l(s) ds \|y_{n_m} - y_*\|_{\infty} \longrightarrow 0$$

as $m \to \infty$.

Hence, Lemma 2.1 implies that N is upper semicontinuous.

Step 5: Every solution y of
$$(3) - (4)$$
 satisfies
 $u(t) \le y(t) \le w(t)$ for all $t \in J$

Let y be a solution of (3) - (4). We prove that

$$u(t) \leq y(t)$$
 for all $t \in J$.

Suppose not. Then there exist t_1 , t_2 with $t_1 < t_2$ such that $u(t_1) = y(t_1)$ and

$$u(t) > y(t)$$
 for all $t \in (t_1, t_2)$.

In view of the definition of τ one has

 ${}^{Hc}D^ry(t) \in F(t, u(t))$ for all $t \in (t_1, t_2)$.

Thus there exists $v \in S_{F \circ \tau(u)}$ with $v(t) \ge v_1(t)$ a.e. on (t_1, t_2) such that

$${}^{Hc}D^{r}y(t) = v(t) \text{ for all } t \in (t_{1}, t_{2}).$$

An integration on $(t_1, t]$, with $t \in (t_1, t_2)$ yields

$$y(t) - y(t_1) = \frac{1}{\Gamma(r)} \int_{t_1}^t \left(\log \frac{t}{s} \right)^{r-1} \nu(s) \frac{ds}{s}.$$

Since u is a lower solution to (1) - (2), then

$$u(t) - u(t_1) \le \frac{1}{\Gamma(r)} \int_{t_1}^t \left(\log \frac{t}{s} \right)^{r-1} v_1(s) \frac{ds}{s}; \ t \in (t_1, t_2).$$

It follows from $y(t_1) = u(t_1)$ and $\nu(t) \ge v_1(t)$ that

$$u(t) \leq y(t)$$
 for all $t \in (t_1, t_2)$.

This is a contradiction, since u(t) > y(t) for all $t \in (t_1, t_2)$. Consequently $u(t) \le y(t)$ for all $t \in J$. Analogously, we can prove that

$$y(t) \le w(t)$$
 for all $t \in J$.

This shows that

$$u(t) \le y(t) \le w(t)$$
 for all $t \in J$.

Consequently, the problem (3) – (4) has a solution y satisfying $u \le y \le w$.

Step 6: Every solution of problem (3) - (4) is solution of (1) - (2). Suppose that y is a solution of problem (3) - (4). Then, we have

 ${}^{Hc}D^ry(t) \in F(t,\tau(y(t)))$ for a.e. $t \in J$,

and

$$y(1) = \tau(y(1)) - L(\overline{y}(1), \overline{y}(T)).$$

Since, for all $t \in J$, we have

$$u(t) \le y(t) \le w(t),$$

then,

$$\tau(y(t)) = y(t).$$

Thus, we get

$${}^{Hc}D^ry(t) \in F(t,y(t))$$
 for a.e. $t \in J_{t}$

and

$$L(y(1), y(T)) = 0.$$

We only need to prove that

$$u(1) \le y(1) - L(y(1), y(T)) \le w(1).$$

Suppose that

$$y(1) - L(y(1), y(T)) < u(1)$$

Since $L(u(1), u(T)) \leq 0$, we have

$$y(1) \le y(1) - L(u(1), u(T)).$$

Since $L(\cdot, \cdot)$ is nonincreasing with respect to its both arguments, then we obtain

$$y(1) \le y(1) - L(u(1), u(T)) \le y(1) - L(y(1), y(T)) < u(1).$$

Hence, we get y(1) < u(1), which is a contradiction. Analogously we can prove that

$$y(1) - L(y(1), y(T)) \le w(1).$$

Hence, y is a solution to (1) - (2).

This concludes that problem (1) - (2) has a solution y satisfying $u \le y \le w$.

Remark 3.2. In the case where L(x, y) = ax - by - c, Theorem 3.1 shows existence results to the following problem,

(5)
$${}^{Hc}D^ry(t) \in F(t, y(t)) \text{ for a.e. } t \in J,$$

$$(6) ay(1) - by(T) = c,$$

where $-b < a \le 0 \le b$, $c \in \mathbb{R}$, which includes the anti-periodic case b = -a, c = 0.

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